

#3/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

IMHOF et al.

Group Art Unit: Unassigned

Application No. 09/524,531

Examiner: Unassigned

Filed: March 13, 2000

For: Vascular Adhesion Molecules etc.

\* \* \* \* \*

July 13, 2000

RESPONSE

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to Comply with Sequence Listing Requirements,  
enclosed are paper and computer readable copies of the Sequence Listing. The  
paper and computer readable copies are the same and contain no new matter.

Early and favorable consideration of the application is respectfully requested.

Respectfully submitted,

PILLSBURY MADISON & SUTRO

07/17/2000 WKOROMA 00000004 09524531

05 FC:115

110.00 OP

By



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

COMPLETION Under  
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): IMHOF et al.

Appln. No.:

09

524,531

Atty.Dkt.

PM

264679

LWY09/CM/6

Series Code ↑

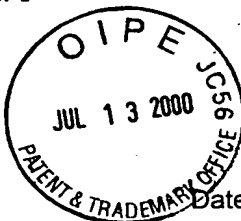
Serial No. ↑

M#

Client Ref

Filed: March 13, 2000

Title: Vascular Adhesion Molecules etc.



Hon. Asst. Commissioner of Patents  
Washington, DC 20231

Date: July 13, 2000

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
  - a. ☐ Abstract
  - b. #  pages of Specification (only spec. & claims)
  - c. ☐ Drawing(s) ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.

5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1)             |             | (2)             |             |
| (3)             |             | (4)             |             |
| (5)             |             | (6)             |             |

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Europe

| Application No. | Filing Date    | Application N . | Filing Date |
|-----------------|----------------|-----------------|-------------|
| (1) 99200746.8  | March 11, 1999 | (2)             |             |
| (3)             |                | (4)             |             |
| (5)             |                | (6)             |             |

Completion Under Rule 53(b)

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_
10. ☐ Attached: \_\_\_\_\_ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
11. ☒ Attached: Sequence Listing in Paper and Computer Readable Form
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

|  |    |            |   | Large/Small<br>Entity |                | Fee<br>Code   |
|--|----|------------|---|-----------------------|----------------|---------------|
| 13. Basic Filing Fee . . . . . Design Application  |    |            |   | \$310/\$155           |                | 106/26        |
| Not Design Application   |    |            |   | \$690/\$345           | +690           | 101/201       |
| 14. Total Effective Claims   | 21 | minus 20 = | 1 | x \$18/\$9            | +18            | 103/203       |
| 15. Independent Claims   | 3  | minus 3 =  | 0 | x \$78/\$39           | +0             | 102/202       |
| 16. If any proper multiple dependent claim (ignore improper) is present,<br>(Leave this line blank if this is a reissue application) |    |            |   | \$260/\$130           | +260           | 104/204       |
| 17. Surcharge for filing Declaration/filing fee late   |    |            |   | \$130/\$65            | +130           | 105/205       |
| 18. <b>FILING FEE ENCLOSED =</b>   |    |            |   |                       | <b>\$1098</b>  |               |
| 19. Original due date: July 12, 2000   |    |            |   |                       |                |               |
| 20. Petition is hereby made to extend the original due date to   |    |            |   | (1 mo)                | \$110/\$55 =   | +110          |
| cover the date this response is filed for which the requisite fee  |    |            |   | (2mos)                | \$380/\$190 =  | 115/215       |
| is attached  |    |            |   | (3mos)                | \$870/\$435 =  | 116/216       |
|  |    |            |   | (4mos)                | \$1360/\$680 = | 117/217       |
| 21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .   |    |            |   |                       | \$130          | +0            |
| 22. If "assignment" box 5 is X'd, add recording fee . . . . .  |    |            |   |                       | \$40           | +40           |
| 23. Petition Fee for   |    |            |   |                       | \$130          | +0            |
| 24. <b>TOTAL FEE ENCLOSED =</b>  |    |            |   |                       |                | <b>\$1248</b> |

Our Deposit Account No. 03-3975

Our Order No. 11422

264679

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP**  
Intellectual Property Group

1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: ASH/maf

By Atty: Ann S. HobbsReg. No. 36830Sig: 

Fax: (202) 822-0944

Tel: (202) 861-3063

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

## FORMALITIES LETTER



\*OC00000005114104\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

#3

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/524,531         | 03/13/2000          | Beat Albert Imhof     | PM 264679              |

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 New York Avenue  
Ninth Floor  
Washington, DC 20005-3918



Date Mailed: 05/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$278.
  - \$18 for 1 total claims over 20.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821 - 1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required under 37 CFR 1.821(e).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-1123
- For CRF Submission Help, call (703) 308-4212
- PatentIn Software Program Support
- For Technical Assistance, call (703) 287-0200
- To Purchase PatentIn Software, call (703) 306-2600

- The balance due by applicant is \$ 1098.

07/17/2000 WKOROMA 00000004 09524531  
 690.00  
 130.00  
 260.00  
 18.00  
 01 FC:101  
 02 FC:105  
 03 FC:104  
 04 FC:103

*A copy of this notice MUST be returned with the reply.*

D. Bell T-4

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE